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REGIONAL DEEP HYPERTHERMIA IN COMBINATION WITH WHOLE BRAIN RADIOTHERAPY (WBRT) IN POOR PROGNOSIS PATIENTS WITH BRAIN METASTASES.

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Abstract:	<p>Purpose: The aim of this study is to assess for the first time, the role of regional deep hyperthermia in combination with radiotherapy and systemic therapy in patients with poor prognosis brain metastases (GPI\leq2,5).</p> <p>Methods: Patients with confirmed cerebral metastases and classified as GPI score \leq2,5 were included in this prospective study. Pretreatment stratification was defined as patients with 0-1 GPI score (A group) and patients with 1.5-2.5 GPI score (B group). HT was applied twice a week, sixty minutes per session, during RT by regional capacitive device (HY-DEEP 600WM system) at 13,56 MHz radiofrequency.</p> <p>Results: Between June 2015 and June 2017, 15 patients and a total of 49 brain metastases were included in the protocol. All patients received all HT sessions as planned. RT and systemic therapy was also completed as prescribed. Tolerance to treatment was excellent and no toxicity was registered. Patients with HT effective treatment time longer than the median (W90time$>$88%) showed better actuarial PFS at 6 and 12 months (100% & 66,7% respectively) compared with those with less treatment effective time HT treatment time (50% & 0% respectively) (p$<$0,031). Median OS was 6 months (range 1-36 months) . Stratification by GPI score, showed a median os of 3 months (CI 95% 2,49-3,51) in Group A and 8.0 months (CI 95% 5,15-10,41) in Group B (p=0,035).</p> <p>Conclusions: Regional hyperthermia is a feasible and safe technique to be used in combination with RT in brain metastases patients, improving PFS and survival in poor prognosis brain metastases patients.</p>

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25
26 ABSTRACT27 Purpose: The aim of this study is to assess for the first time, the role of regional deep hyperthermia in
28 combination with radiotherapy and systemic therapy in patients with poor prognosis brain metastases
29 (GPI \leq 2,5).30 Methods: Patients with confirmed cerebral metastases and classified as GPI score \leq 2,5 were included in
31 this prospective study. Pretreatment stratification was defined as patients with 0-1 GPI score (A group)
32 and patients with 1.5-2.5 GPI score (B group). HT was applied twice a week, sixty minutes per session,
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41 months (CI 95% 2,49-3,51) in Group A and 8.0 months (CI 95% 5,15-10,41) in Group B (p=0,035).42 Conclusions: Regional hyperthermia is a feasible and safe technique to be used in combination with RT in
43 brain metastases patients, improving PFS and survival in poor prognosis brain metastases patients.44
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47 KEY WORDS: hyperthermia, radiotherapy, brain metastases48
49 CONFLICTS OF INTEREST: No conflicts of interest50
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3 INTRODUCTION

4
5 Brain metastases (BM) are the most common type of intracranial neoplasms in adults and are one of the
6 most common sites of metastasis in tumors [1]. Patients with BM have a very poor prognosis, but there is
7 evidence that BM is a heterogeneous group with different survival outcomes. Prognostic indexes have been
8 used in several malignancies in order to select patients and to aid the clinical and therapeutic decision
9 making [2]. Prognostic Assessment (GPA) has become one of the most commonly used prognostic index in
10 clinical practice [3] for BM. GPA incorporate four factors: age, Karnofsky performance status (KPS),
11 presence of extracranial disease (ECM) and number of metastases that impact in survival. Those patients
12 with a GPA score $\leq 2,5$ have a very poor prognosis and whole brain radiotherapy (WBRT) is usually
13 prescribed as palliative treatment. In fact patients with GPA score of 0-1 had an expected survival of 2.6
14 months and those with a GPA score from 1.5-2.5 had an expected survival of 3.8 months [3].

15
16 Hyperthermia (HT) is used to treat tumors by increasing the temperature of the cells [4]. The temperatures
17 required to treat tumors ranges from 39 to 43°C [5]. Biological effects of HT include trigger changes in
18 perfusion and oxygenation as well as inhibition of DNA repair mechanisms. Moreover, there is evidence
19 for immune stimulation and the induction of systemic immune responses [6]. Randomized phase III trials
20 [7-9] and meta-analyses [10-12] demonstrated the increase in tumor response when HT is combined with
21 oncological treatments.

22
23 There is no data available about the role of regional deep hyperthermia in patients with BM. In a recent
24 publication [13] 63 BM patients were treated with intraoperative interstitial HT after complete surgical
25 resection. Results of this study showed a significantly lower recurrence rate in the hyperthermia treatment
26 group ($p < 0.0003$) and suggest that intra-operative hyperthermia treatment could reduce local recurrence of
27 tumor. Acute complications with this technique, such as focal seizure and wound infection, have been
28 reported [13].

29
30 The aim of this study is to analyze the role of regional hyperthermia treatment combined with WBRT in
31 poor prognosis (GPA score $\leq 2,5$) brain metastases patients. The primary endpoint was to analyze results in
32 terms of tumor progression and overall survival. Secondary endpoint was to assess the feasibility of the
33 treatment and acute toxicity rate.

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36 PATIENTS/METHODS

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38 Study design and Participants

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40 From June 1st 2015 to June 1st 2017, patients over 18 years old, suffering from brain metastases in different
41 clinical situations, were classified using the GPA scale [3]. Patients with the worst prognosis (GPA score
42 $\leq 2,5$) were included in this study. Patients were stratified in two prognostic groups: very poor prognosis
43 GPA < 1.5 (group A) and poor/intermediate prognosis GPA 1.5-2.5 (group B).

44 Exclusion criteria were patients with metal prostheses, pacemakers and pregnant women. Patients were
45 followed prospectively under the Spanish RD 1566/1998 regulation for Radiotherapy Quality Assurance.
46 The study was approved by the Ethic Committee and registered by number Eudract 2018-001089-40.
47 Written inform consent for treatment was obtained in all patients. Standard of Care (SOC) systemic
48 treatment and RT were used as per protocol and carefully assessed during the study. Follow-up was closed
49 at february 2019 as all patients were death at that time.

50
51 Hyperthermia.

52 Heat was applied using an 13,56-MHz radiofrequency-capacitive regional HT (HY-DEEP 600WM system,
53 Andromedic SRL, Velletri, Italy). HT was applied twice a week (every 72 hours) during all radiotherapy
54 treatment schedule, as previously described [14]. Heating duration was prescribed to 60 min, and 150 watts
55 were applied [15]. In all cases, electrodes were placed on opposite lateral sides of the patient's head, on
56 supine position. Patients were carefully instructed to mention any unpleasant sensation suggestive of a hot
57 spot [14].
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1 Quality of HT was determined by the relation of energy and time of exposure during treatment. We
2 previously defined [14] W90time and W90treat in order to get homogeneous parameters to analyze the
3 quality of HT treatment. W90time is defined as the percentage of total treatment time at 90% of prescribed
4 energy. W90treat was defined as the percentage of treatment sessions that reached 90% of prescribed
5 energy.

6 Progression free survival (PFS) or overall survival (OS) were measured from the time of first treatment for
7 each patient to the date of disease progression or death. Patients underwent weekly clinical assessments
8 during the treatment to register toxicity. Toxicity was scored according to the integrated criteria (16) of the
9 Common Toxicity Criteria Adverse Events (CTCAE 4.03) and Quality Management in Hyperthermia
10 (QMHT). The highest toxicity grade reached for each patient during and after RT with regional HT, was
11 used for the toxicity analysis. Delay in radiotherapy/systemic therapy due to HT was also recorded. Patients
12 were followed by clinical assessment and brain MRI/Contrast enhanced CT-scan every 2-3 months unless
13 symptomatic progression occurs. Actuarial analysis of PFS and OS was statistically compare by the
14 Breslow-Wilcoxon test. All statistical analyses were performed using SPSS, Version 20.0 software (SPSS
15 Inc., Chicago, IL).

18 RESULTS

20 Patients characteristics

21 Fifteenth patients (8 females and 7 males) and a total of 49 brain metastases were included in this
22 prospective study. Mean age of our patients was 57 years (34-72). Main primary tumor location was lung
23 (8 cases) and breast (4 cases), followed by one colorectal, cervix carcinoma and retroperitoneal sarcoma.
24 All but 2 patients had more than one brain metastases. Median radiotherapy dose was 30 Gy (range 15-50
25 Gy). DBE 10 median dose was 39 Gy (22.4-75Gy). Six patients (37,5%) were classified as 0-1 GPA score
26 (A group) and nine patients as 1.5-2.5 GPA score (B group) according to GPA classification (Table 1).

29 Hyperthermia treatment feasibility and toxicity

30 All patients received all HT sessions planned (Mean 3,5 range 1-6). The median W90time was 88%
31 (50-100%) and 100% of sessions reached the 90% of prescribed energy (W90treat). Hyperthermia
32 treatment was well tolerated in all patients, with no acute toxicity of any grade was present. All patients
33 completed on time the scheduled WBRT and/or systemic treatment.

36 Analysis of Survival

37 Patientes were followed until death. Median Progression Free Survival (PFS) was 9 months (95%CI 7,66-
38 10,33). Actuarial PFS was 68,6% and 17,1% at 6 and 12 months, respectively. PFS was longer in patients
39 with longer hyperthermia effective treatment time (>88%W90time) compared to those with shorter
40 effective treatment time (100%vs 50% at 6 months and 66,7% vs 0% at 12 months) (p=0.030) (Figure 1a).
41 No relation with sex, primary tumour, DBE or GPA index were observed (Table 2).

42 Median OS was 6 months (1-36). Actuarial OS was 53,1% and 30,6% at 6 and 12 months, respectively.
43 Only stratification by GPA score was predictive of survival (Table 2). A median OS of 3.0+/-0,26 months
44 (95%CI 2,49-3.51) was observed in group A and compared to a median OS 8,0+/-1,45 months (95% CI
45 5,15-10,84) in group B. Actuarial 6 month Overall Survival was 16,7 % for group A vs 66,7% for group B
46 (p= 0,035) (Figure 1b).

51 DISCUSSION AND CONCLUSIONS

52 Brain metastases (BM) results in significant morbidity and mortality among oncological patients. Palliative
53 RT is the standard local treatment in the poor patient prognostic group (GPAscore $\leq 2,5$), with poor results
54 in terms of overall survival [3].

55 Combining hyperthermia (HT) and radiotherapy or chemotherapy, has shown to contribute to improve
56 outcomes for patients in terms of overall survival and quality of life in several tumor locations [10-12]. To
57 our knowledge this is the first study dealing with regional hyperthermia in patients brain metastases.
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1 In our study 49 brain metastases from 15 patients that belongs to the most unfavourables GPA groups: 6
2 patients had an score index of 0-1 (A Group) and 9 patients had an score index of 1.5-2.5 (B Group) were
3 included. All patients were treated with a combination of radiotherapy and regional HT.

4
5 The first conclusion of our study is that combined RT and regional HT is a well tolerated treatment in
6 brain metastases patients. All treatments were administered as prescribed. No toxicity due to HT was
7 registered. In contrast, Byun et al [13] in their study with intraoperative HT, described seizures and wound
8 infection as complications of the combined treatment in a relevant number of cases. Deep hyperthermia
9 seems to be easier and safe to administer than complicated introporative treatments.

10
11 Quality of HT treatment (W90time >88%) resulted in the strongest predicitive factor for survival. So patients
12 who recieved >88% of the treatment time at 90% of the power prescribed (150W) had excelent PFS rates,
13 acknowledging the role of HT in sensitizing tumors to radiochemotherapy [4-7].

14
15 According to overall survival, median overall survival was 6 months in our serie, improving the poor results
16 of standard treatment in these cases [3]. The association of hyperthermia to RT +/- systemic treatment
17 achieved a 53,1% probability of remaining alive at 6 months and 30,6% at 12 months in our BM patients.

18
19 We also demonstrated for the first time that the patient's overall survival was predicted by the GPA index
20 in our series of combined RT+HT treatment. This results could support the use of this GPA index in this
21 kind of patients.

22
23 When the observed patients' survival in our series is compared with the Sperduto results we could observe
24 that for the poorest prognostic group (GPA <1.5) our 3 months observed survival is similary to the Sperduto
25 [3] expected survival for these cases (2.7 months). Fortunately, in the intermediate/poor risk group (GPA
26 1.5-2.5) our data showed that observed survival in our series of patients is higher than expected (8.0 months
27 vs 3.8 months).

28
29 Then, the third conclusion in our analysis is that we could have identified a subgroup of patients that even
30 with brain metastases could benefit from the combined regional deep hyperthermia treatment.

31
32 These results are in line with the published evidence from Byum et al [13] in intraoperative HT after
33 surgery. In these BM cases with good Karnofsky Status, few BM, controlled extracranial disease and
34 eligible to recieve surgical resection of the disease, intertitial HT combined with WBRT reduced the local
35 recurrence rate (p<0,0003).

36
37 In conclusion, regional hyperthermia is a feasible and safe technique to be used in combination with RT in
38 brain metastases patients, that seems to increase progression free survival. The GPA index is a reliable
39 prognostic tool for predicting survival in patients under this combined treatment approach. According to
40 the expected survival by the GPA index, patients in the poorest prognostic group (Group A) did'nt show
41 any increase in survival by adding HT (2.6 vs 3 months). On the contrary, in patients in Group B (GPA
42 score 1.5-2.5) the addition of hypertermia increased the overall survival from the expected 3.8 months to
43 the observed 8.5 months. In selected patients with GPA score 1.5-2.5 there is a significant improvement
44 in overall survival. Major limitation of our study is the short number of patients included, and the very poor
45 prognosis of most of them.

46 47 48 49 REFERENCES:

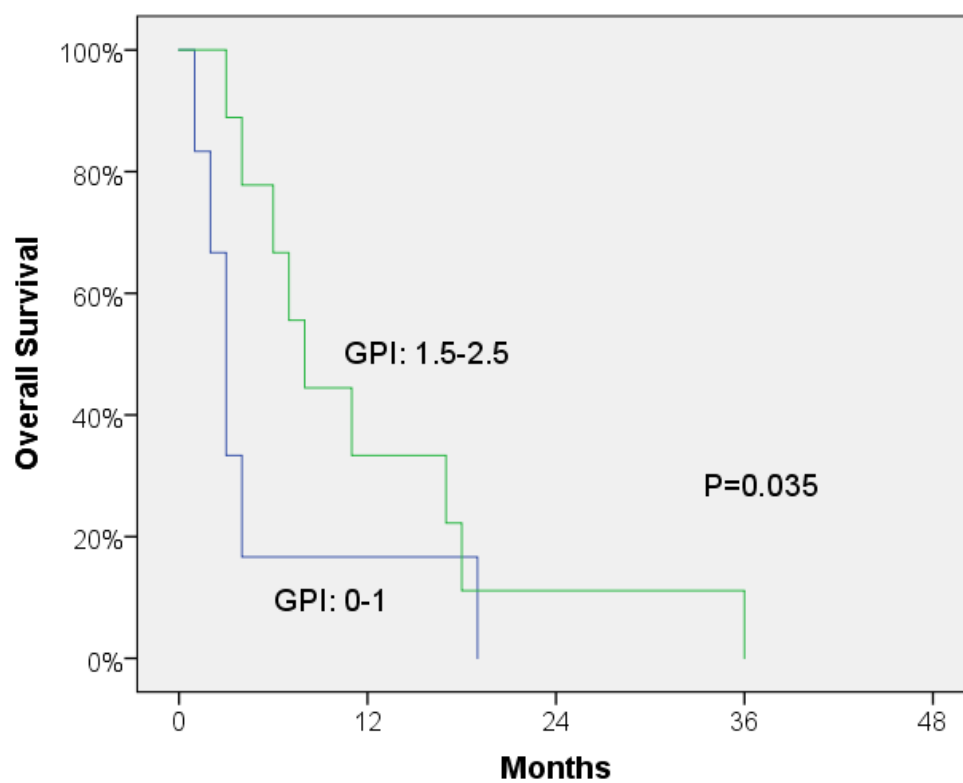
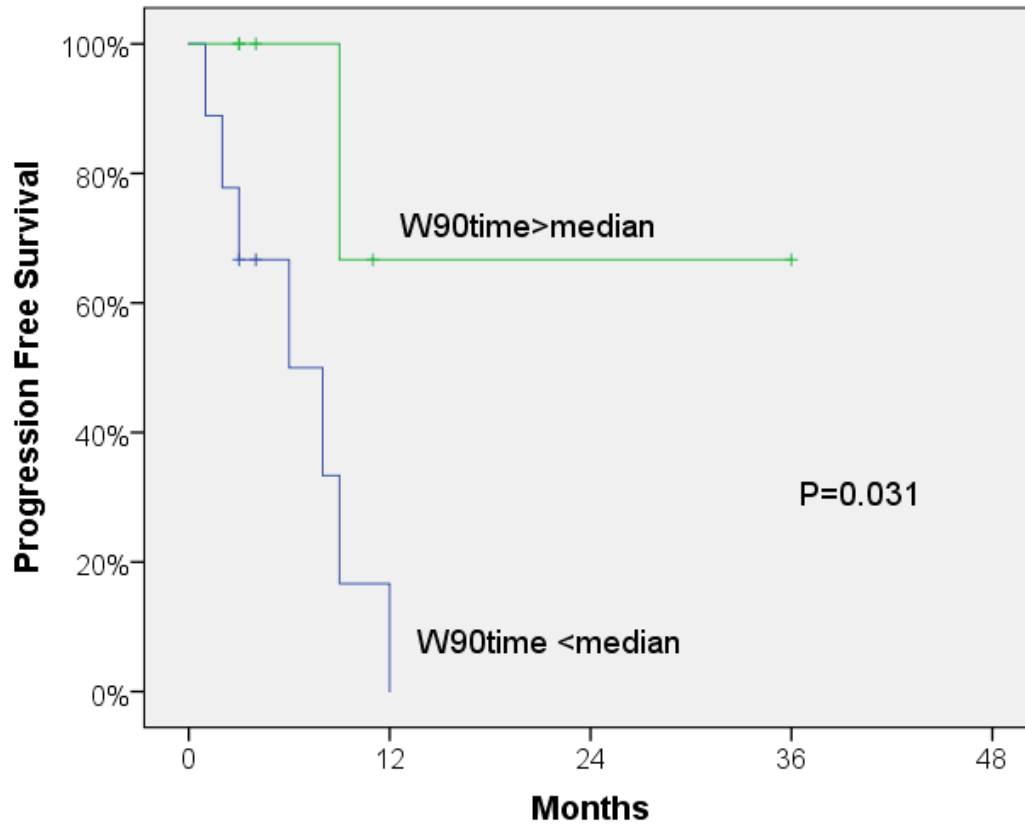
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FIGURES

Figure 1. a) Progression Free Survival by Hyperthermia effective treatment time b) Overall survival by GPI score.



TABLES

Table 1. Score and number of patients by different factors in our serie.

GPA Factor	SCORE		
	0	0.5	1
Age Patients	>60y (8)	50-59y (3)	<50y (4)
KPS Patients	<70% (3)	70-80% (7)	90-100% (5)
Brain Metastases Number Patients	>3 (6)	2-3 (7)	1 (2)
Extracranial disease Patients	Yes (12)		No (3)

Table 2. Actuarial PFS and survival according to risk factors

	PFS 6 months	Univariate P value	OS 6 months	Univariate P value
Sex				
Male (8)	71.4%		28.8%	
Female (7)	70.0%	.442	62.5%	.107
Primary Tumor				
Lung (8)	87.5%		37.5%	
Breast (4)	75.0%	.900	100%	.100
GPI				
0-1 (6)	66.7%		16.7%	
1.5-2.5 (9)	74.1%	.224	66.7%	.035
DBE				
≤39Gy (8)	45.0%		62.5%	
>39Gy (7)	85.7%	.257	28.6%	.415
W90time				
≤88% (8)	50.0%		50%	
>88% (7)	100%	.031	42.9%	.773